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B.P.
6-12-02

PATENT
Docket No. 2080-3-17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re CIP application of:

Byung-Jin Kim

Kang-Soo Suh

Serial No:

Filed: Herewith

For: APPARATUS FOR CREATING AND MANAGING
NAVIGATION INFORMATION IN REWRITABLE
RECORDING MEDIUM AND METHOD THEREFOR

Anticipated Classification
of this Application:

☐ Class ☐ Subclass

Prior Application

Examiner: L. Pham

Art Unit: 2177



**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR § 1.56 and 1.97, enclosed please find a copy of Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

1. Non-English Language References

☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.

☐ The specification incorporates comments on the relevancy of Non-English language references.

☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

2. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the national application other than a continued prosecution application (CPA) or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 1.114 whichever event occurs last. 37 C.F.R. § 1.97(b) (as amended September 2000).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311, whichever occurs first.

STATEMENT OR FEE

A. Included with this transmittal is

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. § 1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$240 fee (37 C.F.R. § 1.17(p)) to Deposit Account No. _____. A copy of this sheet is enclosed.)

AND

- ii. ☐: the attached fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$240.00).
4. ☐: The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

STATEMENT, PETITION AND FEE

A. In accordance with the requirements of 37 C.F.R. § 1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. § 1.97(e).
- ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. § 1.97(d)(ii).
- iii. Applicant submits the petition fee set forth in § 1.17(i). (\$130.00).

STATEMENT

(Required if 3Ai or 4 above is marked)

5. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(2).


6. If it should be determined that for any reason either an insufficient fee or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure

consideration of the information disclosure statement for the above-identified application to
Deposit Account No. _____. A copy of this petition is enclosed.

Respectfully submitted,

Lee & Hong

Date: May 21, 2001

By: 
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FORM PTO-1449			Docket Number (Optional) 2080-3-17		Application Number N/A	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Applicant Byung-Jin Kim; Kang-Soo Suh			
(Use several sheets if necessary)			Filing Date Herewith		Parent Group Art Unit 2177	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,930,450	7/27/1999	Fujita	386	95	JCS68 U.S. PTO 09/862772 05/21/01
	6,122,436	9/19/2000	Okada et al.	386	126	
	5,963,704	10/5/1999	Mimura et al.	386	95	
	5,619,338	4/8/1997	Nakai et al.	386	70	
	6,125,232	9/26/2000	Taira et al.	386	95	
	6,108,281	8/22/2000	Tozaki et al.	369	32	
	6,078,727	6/20/2000	Saeki et al.	386	125	
	5,793,872	8/11/1998	Hirayama et al.	386	95	
	5,764,846	6/9/1998	Hirayama et al.	386	92	
	6,160,952	12/12/2000	Mimura et al.	386	95	
	5,956,457	9/21/1999	Hirayama et al.	386	92	
	5,778,142	7/7/1998	Taira et al.	386	97	
	5,596,564	1/21/1997	Fukushima et al.	369	275.3	
	6,067,400	5/23/2000	Saeki et al.	386	95	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	9213013	8/15/1997	Japan	20	12	YES
	7334977	12/22/1995	Japan	27	10	YES
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER			DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.						